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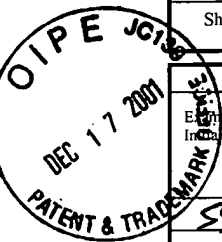
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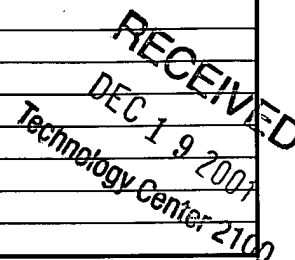
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				Application Number	
				Filing Date	
				First Named Inventor	
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	
				099593-8	



U.S. PATENT DOCUMENTS						
Examiner Initials <sup>5</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
SSP		5,101,353		Lupien et al.	Mar. 31, 1992	
		5,915,209		Lawrence	Jun. 22, 1999	
		6,014,643		Minton	Jan. 11, 2000	
		6,029,146		Hawkins et al.	Feb. 22, 2000	
		6,112,188		Hartnett	Aug. 29, 2000	



FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>5</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>5</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
SSP		Merrill Lynch, Pierce, Fenner & Smith Inc., Merrill Lynch Online Services Page, pp. 1-3, from <a href="http://askmerrill.ml.com/online/">http://askmerrill.ml.com/online/</a> , different investment opportunities are introduced (e/g/ Askmerrill Mobile, Merrill Lynch Presents Global Investor Network, Market Pulse, Portfolio Tracker etc.)	
SSP		Derwent -Acc-No: 2001-080621 (De Prisco et al.) 28 December 2000, see the novelty; detailed description, and the advantage of this disclosure)	
		Spreandeo, 19 Rules for Traders by A Master of the Art, PP. 12-14, June 1991.	

Examiner Signature	<i>Alan Paul</i>	Date Considered	9-7-02
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